DEFINITION OF NEW INVESTIGATOR

Tenure-track, clinical-track, and research-track faculty at the assistant professor level who is within six years of their first independent research or faculty appointment.

In general, a Program Director/Principal Investigator (PD/PI) is considered a New Investigator if he/she is not a PD/PI on an active federally funded or American Cancer Society funded independent research award other than the following early stage or small research grants or for the indicated training, infrastructure, and career awards:

- Pathway to Independence Award-Research Phase (R00)
- Small Grant (R03)
- Academic Research Enhancement Award (R15)
- Exploratory/Developmental Grant (R21)
- Research Education Grants (R25, R90, RL9, RL5)
- Clinical Trial Planning Grant (R34)
- Dissertation Award (R36)
- Small Business Technology Transfer Grant-Phase I (R41, UT1)
- Small Business Innovation Research Grant-Phase I (R43, U43)
- Shannon Award (R55)
- NIH High Priority, Short-Term Project Award (R56)
- Competitive Research Pilot Projects (SC2, SC3)
- Resource Access Award (X01)
- National Science Foundation Research Traineeship Program (NRT)

Additionally, the PD/PI is not excluded from consideration as a “New Investigator” if he/she has been the PD/PI of received an award from any of the following classes of awards:

Training-Related and Mentored Career Awards

- All Fellowships (F awards)
- All individual and institutional career awards (K awards)
- Loan repayment contracts (L30, L32, L40, L50, L60)
- All training grants (T32, T34, T35, T90, D43)

Instrumentation, Construction, Education, Health Disparity Endowment Grants, or Meeting Awards

- G07, G08, G11, G13, G20
- R13
- S10, S15, S21, S22

Note regarding grants with Multiple PD/PIs: In the case of a grant application that involves more than one PI, all PD/PIs must meet the definition of New Investigator in order for the application to have the New Investigator designation.

Note regarding transitional grants: Research grants that combine a smaller initial award that transitions without further competition to a second phase supported by a substantial, independent research grant will discontinue the New Investigator status for the PD/PI(s) at the point of transition to the larger award. This includes combined, transitional awards like the R21/R33, SBIR/STTR Fast-Track (R42, UT2, R44, U44), UH2/UH3.